

STATE OF NORTH DAKOTA
BEFORE THE INSURANCE COMMISSIONER

In the Matter of)	
)	CONSENT ORDER
Christopher Dotson,)	
NPN 16346704,)	CASE NO. AG-16-697
)	
Respondent.)	

TO: Christopher Dotson, Ameriprise Financial, 753 Ameriprise Financial Center, Minneapolis, MN 55474

Insurance Commissioner Jon Godfread (“Commissioner”) has determined as follows:

1. As a result of information obtained by the North Dakota Insurance Department (“Department”) regarding the conduct of Christopher Dotson, NPN 16346704 (“Respondent”), the Commissioner has considered scheduling a formal hearing to determine whether Respondent’s conduct as alleged constitutes a basis for imposition of a civil penalty or any other action the Commissioner deems necessary. As more fully described below, Respondent’s conduct is alleged to be in violation of N.D.C.C. § 26.1-26-45.1(2).

2. N.D.C.C. § 26.1-26-45.1 states, in part:

26.1-26-45.1. Reporting of actions.

...

2. Within thirty days after a criminal conviction, an insurance producer shall report to the commissioner

any criminal conviction of the insurance producer taken in any jurisdiction. The report must include a copy of the initial complaint, the order issued by the court, and any other relevant legal documents.

3. Respondent has been licensed as a nonresident insurance producer in North Dakota since August 31, 2011.

4. According to information received by the Department, on or about December 12, 2015, Respondent was arrested and charged with Domestic Assault, resulting in a stay of adjudication. Respondent was placed on two years of supervised probation which runs from April 8, 2016, to April 8, 2018. The charge should have been reported to the Department by May 8, 2016, and it was not reported until November 17, 2016. Respondent's failure to report a criminal charge within 30 days is a violation of N.D.C.C. § 26.1-26-45.1(2).

5. Respondent's actions constitute violations of N.D.C.C. § 26.1-26-45.1(2).

6. Respondent acknowledges that at the time of signing the Consent to Entry of Order, he was aware of or had been advised of the right to a hearing in this matter, to consult an attorney, to present argument to the Commissioner, to appeal from any adverse determination after a hearing, and Respondent expressly waives those rights.

7. Respondent has agreed to informal disposition of this matter, without a hearing, as provided under N.D.C.C. § 28-32-22.

8. For purposes of resolving this matter without further administrative proceedings, Respondent has agreed to enter into the following order.

NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

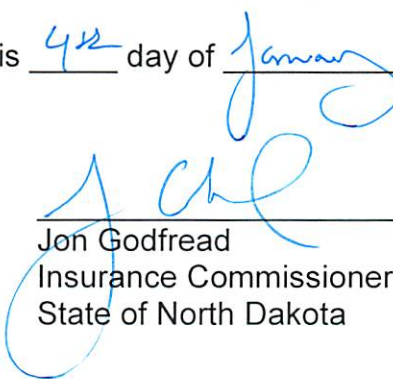
1. Respondent's nonresident insurance producer license is hereby **MADE CONDITIONAL** effective upon the Commissioner's execution of this Order. The

conditional license will coincide with Respondent's supervised probation which concludes on April 8, 2018. After expiration of the conditional license period, the license will remain conditional for 61 days, allowing the Department to determine whether probation was completed successfully. If, at the end of the probationary period plus 61 days, there is no cause to terminate the license and all terms have been complied with, an unrestricted license will be issued.

2. Further, the Department may, during the conditional license period, revoke, suspend, or take such further action as may be deemed necessary against Respondent's insurance license without Notice of Hearing or the issuance of a Complaint if the Department receives a complaint from any source against the Respondent and after investigation of the merits of said complaint, notifies the Respondent that he has, in the Commissioner's opinion, violated the laws of the State of North Dakota. Respondent further agrees that any action taken against his license or in furtherance of this action is not appealable.

3. The use of this Consent Order for competitive purposes by an insurance agent or agency holding a license in the State of North Dakota, or by any company holding a Certificate of Authority, or by anyone on their behalf, may be deemed unfair competition and be grounds for suspension or revocation of said license or authority.

DATED at Bismarck, North Dakota, this 4th day of January, ~~2015~~ 2017

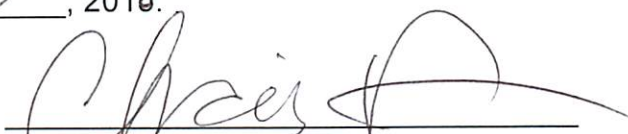


Jon Godfread
Insurance Commissioner
State of North Dakota

CONSENT TO ENTRY OF ORDER

The undersigned, **Christopher Dotson**, states that he has read the foregoing Consent Order, that he knows and fully understands its contents and effect; that he has been advised of the right to a hearing in this matter, the right to be represented by legal counsel, the right to present evidence and arguments to the Commissioner, and the right to appeal from an adverse determination after hearing; and that by the signing of this Consent to Entry of Order he waives those rights in their entirety, and consents to entry of this Order by the Commissioner. It is further expressly understood that this Order constitutes the entire settlement agreement between the parties, there being no other promises or agreements, either expressed or implied.

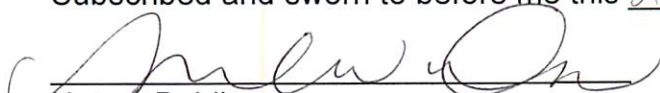
DATED this 23 day of December, 2016.



Christopher Dotson

County of Hennepin
State of Minnesota

Subscribed and sworn to before me this 23 day of December, 2016.



Notary Public

My commission expires:

